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Begin forwarded message:

**From:** "Wang, Yun" <Yun.Wang@tufts.edu>  
**Date:** July 28, 2015 11:08:39 PM EDT  
**To:** "Hlatky, Lynn R." <Lynn.Hlatky@tufts.edu>  
**Subject:** **FW: the 4th letter from an independent investigator in GRI**

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From: Wang, Yun  
Sent: Tuesday, July 28, 2015 10:59 PM  
To: Beckman, Mary (AGO); ago (AGO)  
Cc: Green, Jonathan (AGO); Mann, Nora (AGO)  
Subject: the 4th letter from an independent investigator in GRI

Dear Ms. Mary Beckman:

The last group of GRI employees (including me) got layoff last Friday. In the layoff letter, I was informed that my vacation days will be paid later without a clarified date. I will have no severance pay despite the fact that I have worked in this working place for more than 11 years.

When I asked Mr. Robert Stemple that "You as the director of GRI Finance must be very clear that some money such as staff's vacation day payments and my research funding (according to the agreement with EU funding agency, the funding can be used only for the research project, HBP) cannot be used for any other purposes. How can you've made payments following whatever the CEO/board asked?". He said that since 6 months ago, he has kept to report the finance balances to the CEO/Board weekly, and long before filing bankruptcy he reminded them that Dr. Wang's funding will be taken with her to wherever she goes... but the CEO/Board just ignored his reports and reminders and kept saying that "GRI will give the money back once we get the money from patents" .....

Most of patents are not interested by anyone or any company, and hence cannot be sold. Furthermore, the procedure of selling a patent, especially those requiring clinical trials, takes a very long time (several years up to more than ten years). Therefore, it is simply not realistic to pay our vacation days and severance and my funding by waiting for getting the money from patents!

So, it is obvious that the GRI board is using 'selling the patents' as an excuse covering what they did to GRI staff - cheating us, taking away our wages (i.e., vacation and sick days) and benefits (severance), and my research funding, etc.

In addition, those employees who got layoff on July 10 cannot get their unemployment benefits from government so far. They were told that GRI has not yet submitted their salary data. All of sudden, we cannot get a single penny from nowhere and will have no medical insurance covering after 3 days. Our life has been made into a very difficult situation.

We are looking forward to an prompt and effective action to solve the serious problems for us!

Sincerely yours,

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Yun Wang, MD & PhD  
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Email: yun.wang@griresearch.org; yun.wang@tufts.edu

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From: Beckman, Mary (AGO) [mary.beckman@state.ma.us]  
Sent: Thursday, July 23, 2015 10:21 AM  
To: Wang, Yun; ago (AGO)  
Cc: Green, Jonathan (AGO); Mann, Nora (AGO)  
Subject: RE: the 3rd letter from an independent investigator in GRI

Dr. Wang,  
Thank you for your emails. We are reviewing the information you have provided and will encourage GRI to clarify for you the status of your position and your research funding. We will let you know if we need additional information.  
Regards,  
Mary Beckman

-----Original Message-----

From: Wang, Yun [mailto:Yun.Wang@tufts.edu]

Sent: Wednesday, July 22, 2015 2:52 PM

To: Beckman, Mary (AGO); Email Correspondence (AGO)

Cc: Green, Jonathan (AGO); Mann, Nora (AGO)

Subject: RE: the 3rd letter from an independent investigator in GRI

Dear Ms. Mary Beckman:

Sorry, I forgot to attach the image that I mentioned in my former email.  
Here is it attached.

Thanks,  
Yun Wang

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From: Wang, Yun

Sent: Tuesday, July 21, 2015 10:58 PM

To: mary.beckman@state.ma.us; ago@state.ma.us

Cc: Jonathan.green@state.ma.us; Nora.mann@state.ma.us

Subject: the 3rd letter from an independent investigator in GRI

Dear Ms. Mary Beckman:

I am writing to you reporting some strange things that have happened recently before and after our institute, (Genesys Research Institute, GRI), filed bankruptcy on July 14th, 2015.

My research funding was used up for something unclear without informing me. On July 6th 2015, Mr. Robert Stemple, our Director of Research Management & Finance, printed out a summary of my three research accounts (totally \$50,972; please see the attached image). On July 10th 2015 during the meeting when most GRI staff were getting layoff signing the unemployment agreement, our CEO, Dr. Thomas Dahl told me that I can keep working in GRI and getting paid as long as I have my own funding. But on July 16th (two days after the GRI bankruptcy was filed), Mr. Robert Stemple, told me that all my research accounts were all gone because it was taken for GRI's patent processing, and my salary pay will be stopped

soon within a week. But Dr. David Goukassian (an associate research professor in GRI) said that the patent processing won't cost much money.

I have been working on the Human Brain Project (HBP) for several years, which is one of the two flagship projects funded by EU (the European Union). My Work is very important for the HBP! After I told the HBP leader that the GRI was possibly getting closed soon, the HBP helped me to get a new position in Allen Institute for Brain Science in Seattle in order to keep my work not interrupted. One month ago, I've told Dr. Thomas Dahl that Allen Institute was processing paper work for me and soon my research funding needed to be transferred to Allen Institute for supporting me working there. He has been saying that the funding transferring should be no problem, and he still said so on July 17th when I talked with him over the phone. In recent days, I couldn't reach him. (Despite I informed him one month ahead, my research funding was still used up for something unclear without informing me; and he has been lying to me saying 'no problem...').

Currently, I am in a terrible situation - no salary and no funding – my life and research work become seriously worsened!

GRI employees were cheated in terms of paying their vacation and sick days and severances, and 3-months-long medical insurance becomes only 20 days. On July 10th 2015 during a meeting with lunch served, 11 people were asked to sign the unemployment agreement. Dr. Thomas Dahl and the HR person, Ms. Maura Snow, told everyone that the payments for vacation and sick days would be delivered next week and the first payment for severance will be delivered on July 30th, and that the medical insurance will last for 3 months. But, on July 15th (one day after the GRI bankruptcy was filed), Mr. Robert Stemple, told me: "all payments for vacation and sick days and severance will not be made because there is no money left in GRI's account". Indeed, several layoff people told me that they didn't receive any of the promised payments for their vacation and sick days last week. Mr. Robert Stemple looked very angry saying that he had not been informed about the GRI bankruptcy event in advance. On July 21, 2015, Mr. Robert Stemple told me that the medical insurance will last only until the end of this month (not for 3 months).

According to what Mr. Robert Stemple said to me on 2015/7/16 (Thursday), the GRI money has been largely used up two weeks ago (i.e., one week before filing the bankruptcy). So, on 2015/7/10 meeting, the GRI leaders obviously lied to the GRI staff while they knew no money left in the GRI bank account to pay all the staff's vacation and sick days and severances.

I sincerely urge GA to take prompt action to help me getting back my research funding and payments for my vocation and sick days and severances, as well as all the payments to GRI staff!

Sincerely yours,

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Yun Wang, MD & PhD  
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From: Wang, Yun  
Sent: Tuesday, April 14, 2015 11:01 AM  
To: mary.beckman@state.ma.us; ago@state.ma.us  
Cc: Jonathan.green@state.ma.us; Nora.mann@state.ma.us  
Subject: the 2nd letter from an independent investigator in GRI

Dear Ms. Mary Beckman:

I write to you again reporting that the condition of our institute, (Genesys Research Institute, GRI), is really serious, seemingly to be closed soon. AGs' attention and action are in the most urgent need!

It has been half year since Dr. Lynn Hllatky's full lab was laid off at the end of September 2014. Our institute, GRI, is getting worse and worse. Because of the shortness of funding, more people have been layoff or left. Currently almost all administration members (5 persons in total) are working part-time (only about 2 days per week) including our new CEO, Mr. Tom Dahl. Up until now, Only 4 principle investigators (PIs) and 2 technicians are left. Here is very limited research going on, which is using the leftover indirect funding (the vast majority of this indirect funding was from Dr. Lynn Hllatky's former grants).

There have been no new grants received during this period of time although PIs have been working very hard to submit many applications to different funding agencies including NIH and NASA. Dr. David Goukassian's applications were given high scores, but could not be funded. Dr. Kim Dohoo's application to NASA got a score obviously higher than a lab in Harvard University. Finally, the lab in Harvard was funded, but Dr. Dohoo was not. Dr. Dohoo was told that the abnormal condition of our institution was concerned. Obviously, this kind of concern by the funding agencies must originate from the hilarious event that Dr. Lynn Hllatky's

ongoing grants (funded by NIH and the Department of Energy) were requested to be stopped by our own institute (GRI) in last September. You can imagine that no funding agency would be willing to fund any laboratories in such an institute that has laid off a productive and successful big research lab and stopped its ongoing fundings!

My lab has been involved in the research on a 10-year-one-billion€ project – the Human Brain Project (HBP) in EU for several years. The funding to my lab from the HBP (yearly renewable) was seriously influenced too. Up until now, I haven't received the funding for last year yet. After I tried very hard to persuade the HBP leader, only half of the 2014 funding was permitted to deliver recently (not yet received). The renewal for the agreement in 2015 has been postponed without a confirmed date. All these difficulties are also due to the concern of abnormal condition here in GRI. Dr. Dohoo has gotten the similar problem. He has received a big funding from a foreign funding agency, but the funding agency is holding the funding, not confident to deliver the money to GRI because of the same concern. Basically, I cannot normally conduct my research not only because of the funding problem but also simply because a stable lab place cannot be provided for me to setup my complex and sensitive equipment for recording from brain slices.

So, it is getting more and more clear: without changing the current condition, the GRI is going into a dead-end - it will be hopeless to get new grants; even for the received and ongoing grants, it becomes very hard for the money to be delivered on time or even will highly possibly be stopped.

Such an abnormal condition of GRI can be changed only if Dr. Lynn Hlatky's lab comes back. As I mentioned in my former email sent you half year ago, "Lynn's lab is the backbone lab in our GRI in terms of the academy as well as the funding." Dr. Lynn Hlatky has brought in more than 30 million dollars to our institute since her lab was moved here from Dana Farber Cancer Institute 10 years ago. In addition, she has collaborated with and helped other PIs (such as Dr. David Goukassian and Dr. Xinhua Yan) to get NASA and NIH grants. I also have had a promising collaboration with her before she was laid off. If Dr. Lynn Hlatky's lab can come back, this collaboration will be able to move on for getting a NASA grant.

I would urge the AGs to make Dr. Lynn Hlatky's lab come back as soon as possible to save our institute, GRI!

Sincerely

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From: Wang, Yun  
Sent: Tuesday, September 09, 2014 1:09 PM  
To: mary.beckman@state.ma.us  
Subject: a letter from an independent investigator in GRI

Dear Ms. Mary Beckman:

I am an independent investigator in GRI. Yesterday was the first day I came back to work from a one-month business trip to China. I was terrified to hear that the whole group of Dr. Lynn Hlatky (~15 people) are going to be layoff by the end of this month. Greg, our grant manager, told me that he has sent out letters to funding agencies to stop several Lynn's ongoing fundings ... I would say that this is a thoughtless decision that might be made too emotionally.

Lynn's lab is the backbone lab in our GRI in terms of the academy as well as the funding. I have a collaboration with Lynn's group on a NASA project. A manuscript is close for submission, and the project is very promising for a new NASA grant. I am familiar with Lynn's group in which multiple projects are actively ongoing and kept productive in diversified aspects of cancer and space radiation fields. The layoff will tremendously harm not only to Lynn's career and her lab members but also to the research in GRI, especially her collaborators like me.

Again, this is a thoughtless decision! Please try your best to prevent the layoff really happen!

Sincerely,

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